

1 **Sound exposure changes European seabass behaviour in a large outdoor**
2 **floating pen: Effects of temporal structure and a ramp-up procedure**

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23 **Abstract**

24 Underwater sound from human activities may affect fish behaviour negatively and threaten
25 the stability of fish stocks. However, some fundamental understanding is still lacking for
26 adequate impact assessments and potential mitigation strategies. For example, little is known
27 about the potential contribution of the temporal features of sound, the efficacy of ramp-up
28 procedures, and the generalisability of results from indoor studies to the outdoors. Using a
29 semi-natural set-up, we exposed European seabass in an outdoor pen to four treatments: 1)
30 continuous sound, 2) intermittent sound with a regular repetition interval, 3) irregular
31 repetition intervals and 4) a regular repetition interval with amplitude ‘ramp-up’. Upon sound
32 exposure, the fish increased swimming speed and depth, and swam away from the sound
33 source. The behavioural readouts were generally consistent with earlier indoor experiments,
34 but the changes and recovery were more variable and were not significantly influenced by
35 sound intermittency and interval regularity. In addition, the ‘ramp-up’ procedure elicited
36 immediate diving response, similar to the onset of treatment without a ‘ramp-up’, but the fish
37 did not swim away from the sound source as expected. Our findings suggest that while sound
38 impact studies outdoors increase ecological and behavioural validity, the inherently higher
39 variability also reduces resolution that may be counteracted by increasing sample size or
40 looking into different individual coping styles. Our results also question the efficacy of
41 ‘ramp-up’ in deterring marine animals, which warrants more investigation.

42

43 **Keywords:** anthropogenic noise, *Dicentrarchus labrax*, fish behaviour, field study, sound
44 characteristics, stress response

45

46 **Introduction**

47 The rise of underwater noise pollution resulting from human activities at seas may threaten
48 the health and stability of fish populations (Hawkins et al., 2014a, Normandeau Associates,
49 2012, Popper and Hastings, 2009a, Popper and Hastings, 2009b, Radford et al., 2014,
50 Slabbekoorn et al., 2010). This concern needs to be corroborated by understanding how
51 critical fish behaviours change in response to the exposure of man-made noise (Hawkins et
52 al., 2014a, Slabbekoorn et al., 2010). For example, man-made noise has been shown to affect
53 fish by changing their swimming patterns (Hawkins et al., 2014b, Neo et al., 2014, Neo et al.,
54 2015a; Robertis, 2013, Sarà et al., 2007), territorial dynamics (Sebastianutto et al., 2011),
55 antipredator vigilance (Simpson et al., 2014, Voellmy et al., 2014a), foraging efficacy
56 (McLaughlin and Kunc, 2015, Payne et al., 2015, Purser and Radford, 2011, Shafiei Sabet et
57 al., 2015, Voellmy et al., 2014b) and other fitness-related activities (Boussard, 1981,
58 Picciulin et al., 2010). These studies were conducted using different sound sources, which
59 reflected the diversity of man-made noise sources in reality, and varied in their spectral,
60 amplitudinal and temporal characteristics (Slabbekoorn et al., 2010). Different acoustic
61 features likely differ in their relative importance in exerting behavioural effects, but such
62 findings cannot be properly interpreted without deeper fundamental understanding (Hawkins
63 et al., 2014a, Normandeau Associates, 2012).

64 It was only recently that the temporal characteristics of sound were shown to affect
65 the on-set and recovery of behavioural changes for fish (Neo et al., 2014, Neo et al., 2015a).
66 For example, the behavioural recovery of captive European seabass (*Dicentrarchus labrax*) in
67 a large basin was faster when exposed to continuous sound than to impulsive sound (Neo et
68 al., 2014). In addition, impulsive sound exposure induced initial and delayed behavioural
69 changes that were influenced by the pulse repetition interval (PRI) (Neo et al., 2015a).
70 Moreover, amplitude fluctuations were shown to affect shoaling behaviour of the seabass

71 (Neo et al., 2014). The latter effect is interesting as amplitude fade-in, usually called ‘ramp-
72 up’ or ‘soft-start’, is widely recognised and has been applied as a mitigation strategy
73 (Hawkins et al., 2014a, JNCC, 2010, Normandeau Associates, 2012, Weilgart, 2007). A
74 gradual rise in sound level, before a pile-driving or seismic shooting operation at full power,
75 is assumed to drive away marine mammals and fish, in order to prevent injuries caused by
76 intense sound exposure close to the sound source. However, the efficacy of the procedure still
77 needs to be demonstrated (Cato et al., 2013).

78 Behavioural studies often carry implications that are difficult to ascertain because of
79 interpretation discrepancies and generalisation uncertainties inherent to different
80 experimental approaches. For example, tank-based and laboratory studies examining the
81 behavioural impact of sound on captive fish have methodological advantages but also
82 apparent extrapolation limitations (Calisi and Bentley, 2009, Hawkins et al., 2014a, Popper et
83 al., 2014, Slabbekoorn, 2014). Such confined set-ups have high internal validity but lack
84 ecological validity, wherein the acoustic fields likely differ from natural waters in a complex
85 and unpredictable manner (Parvulescu, 1967), and the fish behaviour different and more
86 constrained than in the wild (Hawkins et al., 2014a, Radford et al., 2014). However, this
87 concern has not been substantiated with empirical evidence showing in what ways these
88 limitations result in different behavioural observations between tank-based and open-water
89 studies. Comparisons of behavioural responses to the same stimuli in the same social setting
90 in both tank-based and open-water conditions could improve the external validity of test
91 results and may provide additional insights into the underlying mechanisms (Brewer, 2000,
92 Campbell, 1957).

93 Field studies on free-ranging animals have the highest ecological validity, but
94 conducting well-replicated and well-controlled sound exposure studies at sea is exceedingly
95 costly and logistically challenging. Moreover, discrepancies between contradictory results

96 from different field studies can often not be sufficiently explained (see Hawkins et al.,
97 2014b), due to unknown and potentially confounding or modulating factors. Consequently, a
98 semi-natural approach with semi-controlled setting and a size-appropriate enclosure in the
99 fish natural environment may sometimes be an optimal compromise (Calisi and Bentley,
100 2009, Slabbekoorn, 2014).

101 In this study, we used European seabass in a large floating pen in a man-made cove
102 within a tidal marine inlet, to test the impacts of sound exposure with different temporal
103 structures. We tested four sound treatments varying in intermittency (continuous vs
104 impulsive), repetition interval regularity and the presence of ‘ramp-up’ to test the following
105 hypotheses: 1) Upon sound exposure, fish change their swimming speed, swimming depth,
106 group cohesion and swim further away from the sound source; 2) the behavioural changes are
107 affected by the different temporal structures, including intermittency, repetition interval
108 regularity and the presence of ‘ramp-up’; 3) the behavioural changes are in agreement with
109 previous indoor studies which had the same experimental design (Neo et al., 2014, Neo et al.,
110 2015a).

111

112 **Materials and methods**

113 ANIMAL MAINTENANCE

114 Mixed-sex European seabass from a hatchery (Ecloserie Marine de Gravelines, France) with
115 a total body length of about 30 cm were used in this study (Neo et al., 2014, Neo et al.,
116 2015a). Before and after the experiment, the fish were kept in two cylindrical holding tanks
117 (Ø 3.5 m, depth 1.2 m) in an 8:16 dark-light cycle at Stichting Zeeschelp research institute in
118 Zeeland, the Netherlands. The water in the holding tanks was continuously refreshed with
119 water from the nearby Oosterschelde marine inlet and the water temperature varied from 17

120 to 22 °C throughout the experimental period (June–August 2014). The fish were fed pellets
121 (Le Gouessant Aquaculture, France) every other day based on a temperature-dependent
122 prescription. All experiments were in accordance with the Dutch Experiments on Animals
123 Act and approved by the Animal Experiments Committee at Leiden University (DEC
124 approval no: 14047).

125

126 EXPERIMENTAL ARENA

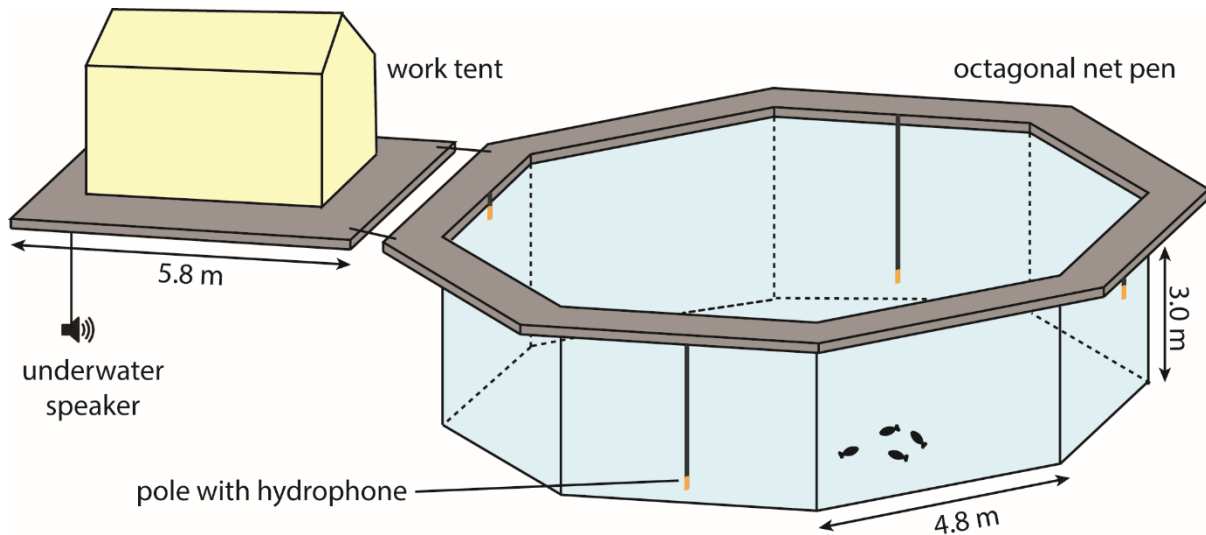
127 The experiment was conducted in the Jacobahaven, a man-made cove in the Oosterschelde.
128 The cove is about 200 m wide, 300 m long and 2–5 m deep depending on tides. It has a level
129 and muddy bottom. The water is relatively calm in the summer and is home to wild European
130 seabass. No external boat traffic is allowed within about 2 km of the cove, making it quiet
131 and ideal for noise impact studies.

132 In the middle of the Jacobahaven, a floating island consisting of two platforms (Fig.
133 1) was constructed from a modular floating system (Candock, Canada) and anchored to the
134 sea bottom with dead weights, chains and stretchable bungee ropes that kept the island in
135 place at all tides. The octagonal platform (Ø 11.5–12.5 m) supported a custom-made
136 octagonal net (volume 334 m³), in which test fish were held during sound exposures; the
137 square working platform supported a work tent (4 × 5 m), which protected all equipment
138 from the weather and served as a working space during the experiment. The two platforms
139 were kept at 0.5 m distance from each other to minimise unwanted noise transmission from
140 the working platform to the octagonal platform during sound exposure. The working platform
141 was detachable from the octagonal platform, and for every quarter of the total trials, it was
142 repositioned at another orthogonal arm of the octagonal platform. The use of four different

143 positions facing the four cardinal directions was intended to minimise the influence of
144 extraneous factors (e.g. seabed topography, tide flows) on fish swimming patterns.

145

146 Figure 1



147

148 Fig. 1. Floating island where experiment was conducted. The square working platform is
149 connected to the octagonal platform by two ropes, leaving a gap of 0.5 m between the two
150 platforms. On the working platform, there is a work tent (5 × 4 m). The underwater speaker
151 hangs on the far end of the working platform at a depth of 2.2 m. The distance of the
152 underwater speaker and the closest side of the net is 7.8 m. The four poles with hydrophones
153 are responsible for tracking the four test fish via acoustic telemetry. Two of the hydrophones
154 are placed near the surface and the other two near the bottom. The distance between adjacent
155 hydrophones is 8.7 m.

156

157 TREATMENT SERIES

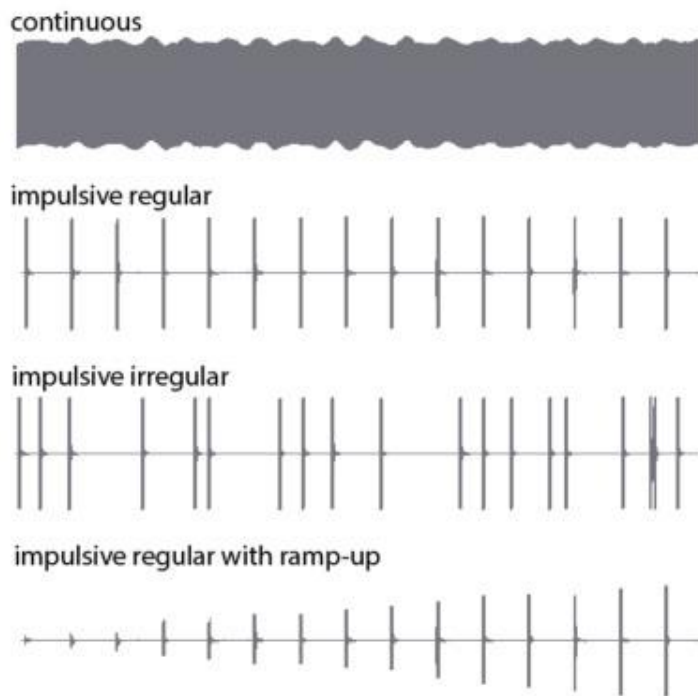
158 We exposed the fish to a series of four sound treatments: continuous, impulsive regular,
159 impulsive irregular and impulsive regular with ‘ramp-up’ (Fig. 2a). In order to vary only the
160 temporal parameters of interest in the treatments while keeping all other sound parameters
161 constant, the sound treatments were created in Audition 3.0 (Adobe, San Jose, US) using
162 filtered brown noise (band-passed: 200–1000 Hz). The continuous treatment consisted of
163 uninterrupted sound elevation with constant amplitude. The other three impulsive treatments

164 consisted of a pulse train with 0.1 s pulses, repeated at either a regular PRI (pulse repetition
165 interval) of 2 s, or an irregular PRI of 0.2–3.8 s (random; average 2 s). The ‘ramp-up’
166 treatment consisted of 20 min of fade-in from ambient level to the same amplitude as the
167 other treatments. All sound samples were created in Adobe Audition 3.0 using filtered brown
168 noise (band-passed: 200–1000 Hz; matching the hearing range of European seabass
169 (Kastelein et al., 2008, Lovell, 2003)) and played back with an underwater transducer (LL-
170 1424HP, Lubell Labs, Columbus, US) from a laptop through to a power amplifier (DIGIT
171 3K6, SynQ) and a transformer (AC1424HP, Lubell Labs).

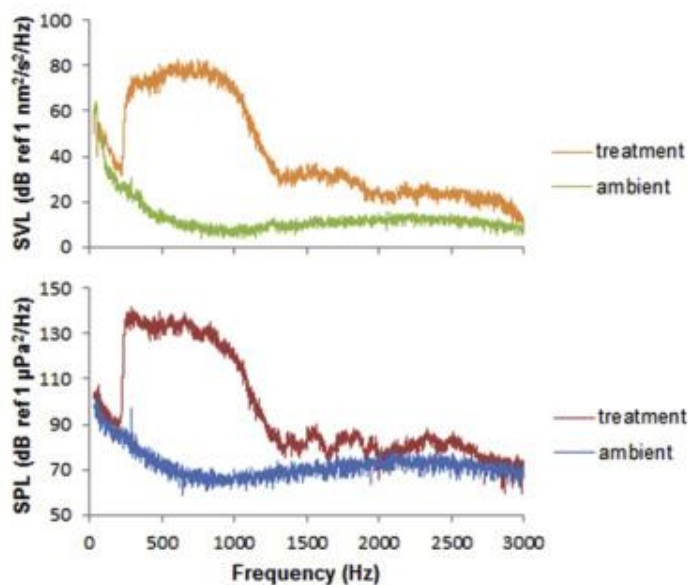
172

173 Figure 2

(a) Time-domain waveform



(b) Power spectral density



174

175 Fig. 2. (a) Time-domain waveforms showing 30 s of the four treatments exposed to each
176 group of fish. Note that the rate of amplitude change for impulsive regular with ‘ramp-up’ is
177 adjusted for illustration purposes; the original exposure consists of 20 min of ‘ramp-up’
178 followed by 50 min of exposure at the same amplitude as the other treatments. (b) Power
179 spectral density plots of measurements in the middle of the octagonal net showing SPL and
180 SVL of 1 s continuous noise and their respective ambient levels. Most energy concentrates
181 between 200 and 1000 Hz as intended, overlapping with the hearing range of European
182 seabass. The original spectra contained a dent between 500 and 1000 Hz, which was caused
183 by the splitting of the original sound files for the avoidance of overload during
184 measurements. The dent was removed in post-processing to reflect the actual acoustic
185 conditions during the exposure trials.

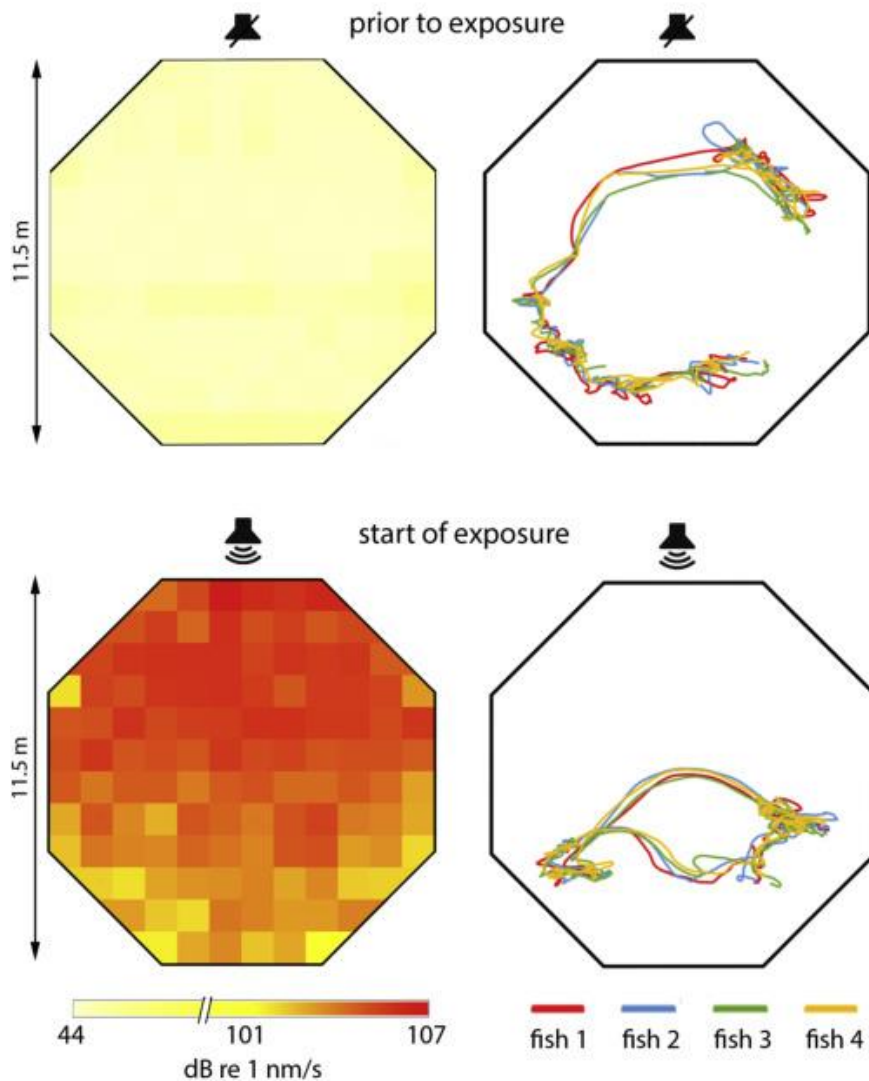
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187 To examine the soundscape of the whole experimental arena, we measured both
188 sound pressure level (SPL) and sound velocity level (SVL). These metrics relate to sound
189 pressure and particle motion, which are both involved in fish hearing, although their relative
190 importance are not always clear. The rms SPL and SVL of the continuous treatment were
191 measured over 1 s at 360 points - three depths (0.5, 1.5 & 2.5 m) x 120 points - within the
192 octagonal net (Fig. 3a). These measurements were performed during both ebb and flow tides
193 for all four positions of the working platform, totalling eight sets of 360 measurements. The
194 measurements were made using the M20 particle motion sensor (GeoSpectrum Technologies,
195 Canada), whose pre-amplifier was powered by a 12 V car battery. The sensor was connected
196 to a current-to-voltage convertor (GeoSpectrum Technologies, Canada) that gave an output of
197 four channels: three for the 3D particle velocity directions (u, v & w) and one for sound
198 pressure. These channels were connected to a laptop via an oscilloscope (PicoScope 3425,
199 Pico Technologies, UK) and data were logged at 40 kHz using a script in Microsoft Access
200 and subsequently analysed with MATLAB.

201

202 Figure 3

(a) Sound velocity level (SVL) (b) Swimming track



203

204 Fig. 3. (a) 2D soundscape maps in sound velocity level (SVL) prior (ambient) and at the start
205 of sound exposure, measured at 1.5 m water depth. The speaker is 7.8 m away from the
206 experimental arena, making the experimental arena outside the postulated acoustic nearfield
207 <7.5 m (sound treatments had minimum frequency 200 Hz). There is a clear amplitude
208 gradient, also in sound pressure level (not shown). (b) Aerial view of swimming tracks of
209 four fish 10 min prior and 10 min at the start of sound exposure. The fish swim around the
210 periphery of the whole study arena before sound exposure but swim away from the speaker at
211 the onset of sound exposure.
212

213 Measurements closer to the speaker caused signal overload. To avoid signal overload, we
214 split the original sound file into two files of 200–560 Hz and 560–1000 Hz bandwidth, and
215 redid the measurements. The readouts of the two files were merged during post-processing
216 (Fig. 2b). The splitting caused a dent in the power spectra around the splitting frequency,

217 leading to a slight underestimation of amplitude level (consistent throughout all
218 measurements and not reflecting the actual exposure conditions). The mean rms SPL and
219 SVL of the ambient noise were 108 dB re 1 μ Pa and 47 dB re 1 nm/s respectively. The mean
220 rms SPL and SVL for the continuous treatment were 163–169 dB re 1 μ Pa and 101–105 dB
221 re 1 nm/s respectively (the range indicates values from the furthest to the nearest points from
222 the speaker within the experimental arena). For the impulsive treatments, the mean zero-to-
223 peak SPL (SPL_{z-p}) and SVL (SVL_{z-p}) were 180–192 dB re 1 μ Pa and 124–125 dB re 1 nm/s
224 respectively; the mean single-strike sound exposure level (SEL_{ss}) and velocity exposure level
225 (VEL_{ss}) were 156–167 dB re 1 μ Pa² s and 99–100 dB re 1 nm²/s respectively.

226

227 EXPERIMENTAL DESIGN

228 Sixteen groups of four fish (64 fish, N = 16) were used and each group was exposed to all
229 four sound treatments sequentially in two days; the exposure order followed an incomplete
230 counterbalanced design (16 of 24 possible orders), to minimise potentially confounding effect
231 of the treatment orders. Each group of fish was transferred to the floating pen in a black
232 plastic container (56 × 39 × 28 cm) enriched with oxygen (OxyTabs, JBL, Germany) and
233 allowed to acclimatise for at least 8 h. At least 30 min before the start of each trial,
234 researchers arrived on the floating island by a small motorised rubber boat. The arrival
235 triggered slight behavioural changes but the fish recovered within 30 min, before the start of
236 the trial. We conducted two trials per day, one during ebb tide (starting 1.5 h after the high
237 tide) and one during flow tide (ending 1.5 before the high tide), ensuring that the water depth
238 was always between 3 and 4 m during the trials. Each trial lasted for 1.5 h and comprised 60
239 min of sound exposure and 15 min of silence before and after, except for trials with ‘ramp-
240 up’, where the exposure consisted of 20 min of ‘ramp-up’ plus 50 min of standard sound

241 exposure (overall energy equalled 60 min standard exposure). During the exposure trials, the
242 researchers stayed in the work tent and did not set foot on the octagonal platform. Light
243 intensity, weather condition and water temperature were recorded during each trial and
244 subsequently used as covariates in the statistical analyses. After each group of fish went
245 through four trials, they were transferred back to the onshore holding tank.

246

247 ACOUSTIC TELEMETRY

248 The swimming patterns of the fish were studied with a 3D telemetry system using acoustic
249 tags (Model 795-LG, HTI, US). The tags were programmed with a programmer (Model 490-
250 LP, HTI, US) to emit 307 kHz pings (inaudible to the fish) of 0.5 ms at four different PRIs
251 (985, 995, 1005 and 1015 ms), in order to identify the four fish in a group. The fish were
252 tagged externally, directly under the first and second dorsal fin (cf. FISHBIO, 2013). After
253 tagging, the four fish were kept in a rectangular recovery tank ($1.20 \times 1.00 \times 0.65$ m), which
254 was continuously refreshed with filtered water from the Oosterschelde. The fish stayed in the
255 recovery tank for at least two days before being transferred to the outdoor pen. The pings
256 emitted from the fish were received by four hydrophones (Model 590-series, HTI, US)
257 attached to the octagonal platform (Fig. 1). The signals were then digitised by an acoustic tag
258 receiver (Model 291, HTI, US) connected to a laptop. The digital data were subsequently
259 processed by computer programs MarkTags v6.1 & AcousticTag v6.0 (HTI, US) into 3D
260 coordinates (x, y, z), with a temporal resolution of one position every second for all four fish
261 (position accuracy = ± 0.5 m). The 3D coordinates were used to calculate four behavioural
262 parameters: swimming speed, swimming depth, average inter-individual distance (group
263 cohesion) and distance from the speaker.

264

265 STATISTICS

266 To test for the changes in the behavioural parameters during the trials, we used linear mixed
267 models to compare four 5-min bins in the exposure sequence from our data set: the 5 min
268 right before sound exposure ('before'), the first ('start') and the last 5 min of exposure
269 ('end'), and the 5 min right after exposure ('after') (cf. Neo et al., 2014). Both exposure
270 sequence and treatment were treated as repeated variables, with covariance structure defined
271 as compound symmetry. We used the same procedure for swimming speed but the bins were
272 1 min instead of 5 min in order to capture the transient speed change. To understand the
273 impact differences between the treatments, we subsequently ran the same test for each
274 treatment separately, treating exposure sequence as a repeated variable. In addition, we
275 compared the difference of the behaviours before and at the start of exposure between
276 impulsive regular and the other three treatments separately, treating treatment as a repeated
277 variable. We subsequently performed one-sample t-tests to see if the calculated differences
278 were significantly larger than 0. In all tests, tide (ebb/flow), water temperature, light level and
279 trial order were fitted as covariates. To select for the best model, irrelevant variables were
280 omitted from the model through backward stepwise selection based on Akaike information
281 criteria. All post-hoc tests and multiple comparisons were corrected using the Holm-
282 Bonferroni method.

283 We also analysed the recovery time of the behavioural changes, which was defined as
284 the time that the fish took to revert back to the pre-exposure level. The 'before' bin was used
285 as a baseline to compare with 5-min moving averages during exposure shifting forward every
286 second, to see when the baseline was reached again. If the baseline was not reached by the
287 end of the trial, the recovery time was counted as 60 min (occurrence frequency: 3/64 for
288 swimming depth, 9/64 for average inter-individual distance and 8/64 for distance from
289 speaker). To compare the difference in recovery time between impulsive regular and the other

290 three treatments separately, we used linear mixed models like above on ranked data, since the
291 original data were not normally distributed.

292

293 **Results**

294 BEHAVIOURAL CHANGES

295 We were able to generate high-resolution swimming tracks of four fish for all trials (see Fig.
296 3b). At the start of the exposure, the fish increased the swimming speed (linear mixed model:
297 $F_{3,237} = 4.978$, $P = 0.002$; Holm-Bonferroni post-hoc: ‘start’ vs ‘before’, ‘end’ & ‘after’ $P =$
298 0.026 , 0.007 & 0.007 respectively) and swimming depth (linear mixed model: $F_{3,240} = 3.913$,
299 $P = 0.009$; Holm-Bonferroni post-hoc: ‘start’ vs ‘before’, ‘end’, ‘after’, all $P_s < 0.001$), and
300 swam further from the speaker (linear mixed model: $F_{3,240} = 2.654$, $P = 0.049$; Holm-
301 Bonferroni post-hoc: ‘start’ vs ‘before’, ‘end’ & ‘after’ $P = 0.021$, 0.068 & 0.099
302 respectively), without changing the group cohesion. All the interaction terms between
303 exposure sequence and treatment were not significant and were removed from the final
304 models.

305 When the treatments were analysed separately, swimming speed did not increase significantly
306 for all treatments, except for the continuous treatment, although the change was not
307 significant in the post-hoc test after correcting for multiple testing (linear mixed model: $F_{3,48}$
308 $= 4.910$, $P = 0.005$; Holm-Bonferroni post-hoc $P_s > 0.1$). Swimming depth increased
309 consistently across all treatments (linear mixed model: $F_{3,48} = 3.144$, $P = 0.034$ for
310 continuous; $F_{3,48} = 5.141$, $P = 0.004$ for impulsive regular; $F_{3,49} = 4.277$, $P = 0.009$ for
311 impulsive irregular; $F_{3,48} = 5.702$, $P = 0.002$ for impulsive regular with ramp-up; all Holm-
312 Bonferroni post-hoc: ‘start’ vs ‘before’, ‘end’, ‘after’, all $P_s < 0.05$) but distance from speaker
313 did not increase significantly for any of the treatments. The group cohesion increased

314 significantly for impulsive regular (linear mixed model: $F_{3,43} = 3.916$, $P = 0.015$; Holm-
315 Bonferroni post-hoc: 'start' vs 'before', 'end' & 'after' $P = 0.026$, 0.032 & 0.134) and
316 continuous treatment, although post-hoc test did not reveal a significant change for the
317 continuous treatment (linear mixed model: $F_{3,47} = 4.639$, $P = 0.006$; Holm-Bonferroni post-
318 hoc $P_s > 0.1$). The increase in group cohesion was not significant for impulsive irregular
319 treatment and impulsive regular with ramp-up.

320 TREATMENT EFFECTS

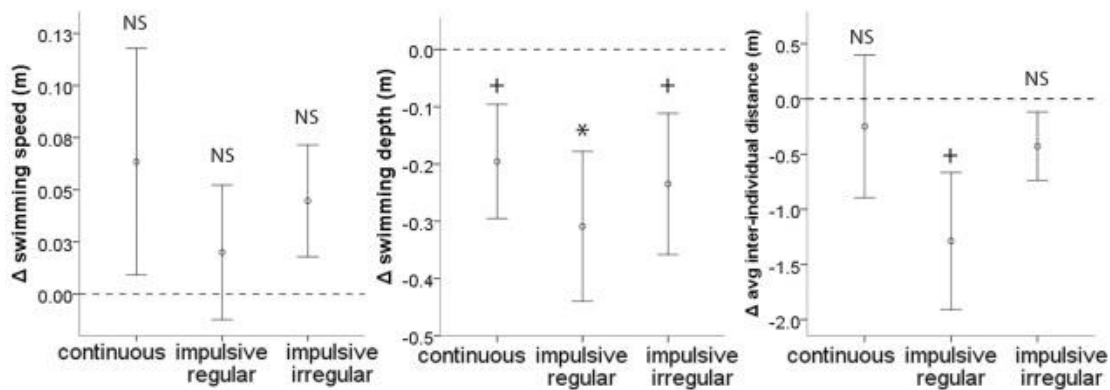
321 INTERMITTENCY

322 Comparing between continuous and impulsive regular treatment, the increase in swimming
323 speed, swimming depth and group cohesion did not differ significantly from each other,
324 although the increase in swimming depth and group cohesion seemed larger in impulsive
325 sound (Fig. 4). This was suggested by one-sample t-tests, where for swimming depth, there
326 was a significant difference from zero for impulsive treatment and a non-significant trend for
327 continuous treatment ($t_{15} = -2.362$, $P = 0.032$; $t_{15} = -1.773$, $P = 0.096$ respectively); and for
328 group cohesion, there was a non-significant trend for impulsive treatment and no significant
329 difference for continuous treatment ($t_{14} = -1.815$, $P = 0.091$; $t_{14} = -0.114$, $P = 0.911$
330 respectively). Contrary to the previous study, the recovery time of continuous and impulsive
331 treatment did not differ significantly for swimming depth or group cohesion.

332

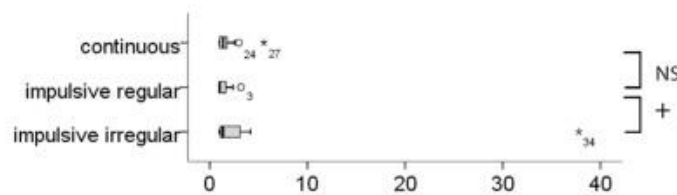
333 Figure 4

(a) Behavioural changes

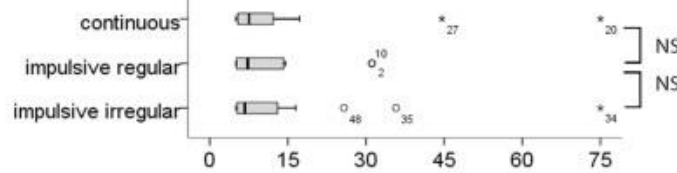


(b) Recovery time

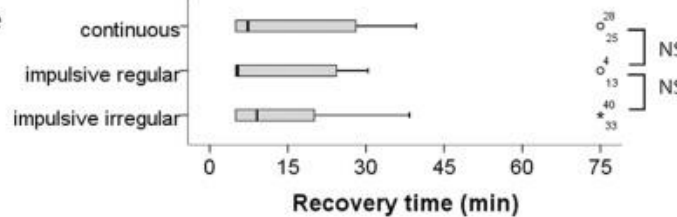
swimming speed



swimming depth



average inter-individual distance



334

335 Fig. 4. (a) Changes in swimming speed, swimming depth (from net bottom) and average
 336 inter-individual distance (mean ± SE) from before to start of exposure for continuous and
 337 impulsive regular treatments. (b) Recovery time of swimming speed, swimming depth and
 338 average inter-individual distance for continuous and impulsive regular treatments. An asterisk
 339 (*) denotes a significant difference from 0 ($P \leq 0.05$), a plus (+) denotes a non-significant
 340 trend ($0.05 < P \leq 0.1$) and NS denotes non-significance ($P > 0.1$).

341

342 INTERVAL REGULARITY

343 Behavioural changes caused by the impulsive irregular treatment did not significantly differ
 344 from the regular treatment. Subsequent one-sample t-tests for irregular treatment yielded a
 345 non-significant trend in swimming depth ($t_{15} = -1.905$, $P = 0.076$) and no significant
 346 difference in group cohesion ($t_{14} = -1.378$, $P = 0.191$), which contrasted with the regular

347 treatment in the same way as continuous treatment (see previous paragraph). However, for
348 distance from speaker, the increase was significantly larger than zero for the irregular
349 treatment, but not for the regular treatment (one-sample t-test: $t_{15} = 2.595$, $P = 0.020$; $t_{15} =$
350 1.744 , $P = 0.102$ respectively). Furthermore, the irregularity of PRI did not significantly
351 affect the recovery of swimming depth, group cohesion and distance from speaker, although
352 there was a non-significant trend that the recovery of swimming speed was prolonged (linear
353 mixed model: $F_{1,15} = 6.346$, $P = 0.071$).

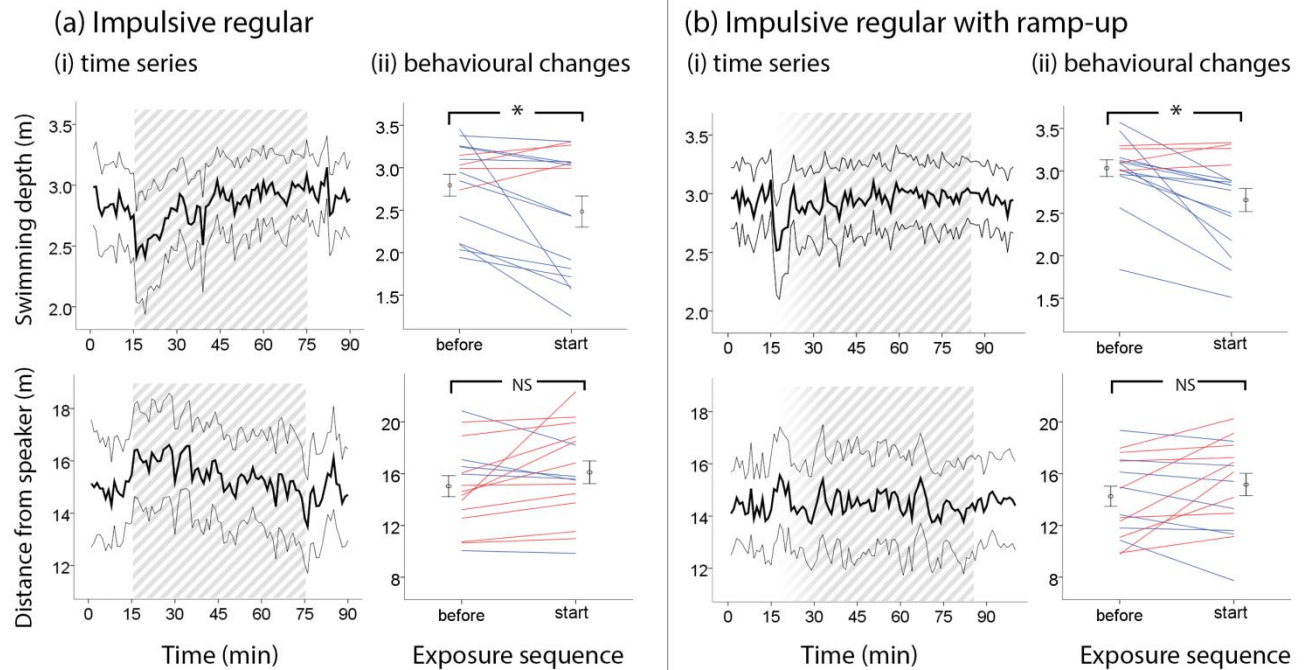
354

355 'RAMP-UP' PROCEDURE

356 'Ramp-up' caused diving behaviour already within the first 5 min, similar to the treatment
357 without it (Fig. 5). Within this period, the amplitude levels were still much lower than the full
358 standard levels. Exposures at these sound levels triggered behavioural changes not
359 significantly different from the treatment without the 'ramp-up', which had constant sound
360 levels from the exposure on-set that were at least 16 dB (up to 31 dB) higher. The 'ramp-up'
361 procedure also did not affect the recovery of the behavioural changes.

362

363 Figure 5



364

365 Fig. 5. Comparisons between (a) the absence and (b) presence of ‘ramp-up’, showing (i) the
 366 time series plots of the whole exposure period (with 95% confidence interval) and (ii) the
 367 changes in swimming depth (from net bottom) and distance from speaker (mean \pm SE) for all
 368 16 fish groups. In the time series plots, the shaded area indicates noise exposure; in the
 369 behavioural changes plots, red lines indicate increases while blue lines indicate decreases for
 370 the different groups. An asterisk (*) denotes significance ($P \leq 0.05$) and NS denotes non-
 371 significance ($P > 0.1$).

372

373 Discussion

374 In the current study, we could observe detailed swimming patterns of fish in a large floating
 375 pen in outdoor conditions. Upon sound exposure, the fish swam faster, deeper, and further
 376 away from the speaker. Within 30 min, most fish returned to their baseline behaviour.

377 Despite some noticeable patterns, sound intermittency and interval regularity did not
 378 significantly influence fish response and recovery. In addition, the ‘ramp-up’ procedure
 379 triggered a behavioural response as immediate as when the procedure was absent, but did not
 380 make fish move away from the speaker. Some fish even seemed to approach the sound
 381 source, at least in the beginning. In general, the fish response was qualitatively similar to
 382 earlier indoor experiments, but the behavioural changes and recovery in the current study

383 were more variable. We also found horizontal avoidance behaviour that was absent in the
384 previous studies.

385

386 NO INFLUENCE OF TEMPORAL STRUCTURES

387 In contrast to our expectations, the impulsive treatment did not prolong the recovery of
388 swimming depth as in previous indoor study (Neo et al., 2014). One explanation may be that
389 the current set-up allowed the fish to swim away from the speaker to quieter areas. As a
390 result, the fish had some control over the sound exposure levels they experienced, and
391 increased the variability of their swimming depth such that this differential impact on
392 recovery was invisible. It should be noted that the absence of a significant difference does not
393 necessarily mean the absence of an effect, because individuals may respond to sound using
394 different coping strategies (Koolhaas et al., 2011, Silva et al., 2010), e.g. freeze versus flight,
395 and the causal relationship between sound exposure and behavioural changes may be
396 moderated by some unknown environmental factors (Brewer, 2000). These context-
397 dependent effects of noise exposure can only be answered with more well-controlled studies
398 (Radford et al., 2014, Slabbekoorn, 2014).

399 Consistent with a previous study conducted on groups of five zebrafish in aquaria
400 (Neo et al., 2015b), we found no significant effects of repetition interval regularity. Irregular
401 pulses could be less predictable, potentially resulting in higher anxiety response as well as
402 slower habituation (Koolhaas et al., 2011, Rankin et al., 2009). However, evidence for these
403 effects were so far only found in an indoor study on individual zebrafish, where the speed
404 change was higher upon exposure to irregular sounds (Shafiei Sabet et al., 2015). The
405 influence of pulse repetition regularity may be too subtle to show in groups of fish where
406 behavioural responses are strongly influenced by group dynamics. This implies that pulse

407 repetition regularity might be less important in inducing behavioural impacts, at least within
408 the temporal resolutions used in the current study (random PRI range: 0.2–3.8 s) and the
409 previous study (random PRI range: 1–17 s) (Neo et al., 2015b).

410

411 EFFICACY OF ‘RAMP-UP’

412 To our knowledge, the inclusion of ‘ramp-up’ procedure allowed us to test for its efficacy on
413 fish for the first time. The ‘ramp-up’ procedure caused fish to dive deeper without delay,
414 which implies that the fish were sensitive to the presence of impulsive sound already at
415 relatively low sound levels. However, when it comes to avoidance of the sound source, the
416 effect was not very clear. When all treatments were analysed together in the statistical model,
417 the avoidance effect was significant; but when analysed separately, the effect was not
418 significant for any treatment. It can be seen in Fig. 5(a, ii) & (b, ii) that not every group of
419 fish responded to the noise exposure by swimming away from it. A smaller but considerable
420 proportion of the groups even initially approached the speaker, possibly due to sound-
421 independent swimming pattern where the fish continuously circled the pen periphery, or due
422 to a phonotactic response, potentially driven by curiosity for novel sounds (Nelson and
423 Johnson, 1972, Weilgart, 2007). Therefore, contrary to our expectation, ‘ramp-up’ may not
424 necessarily drive fish away from ensuing intense noise exposure and some fish may actually
425 stay where they are or even swim closer to the noise source.

426 Furthermore, the gradual increase in sound level of the ‘ramp-up’ procedure may
427 allow the fish to habituate to the sound exposure more easily (Groves and Thompson, 1970,
428 Rankin et al., 2009) and stay within the exposure area without avoidance behaviour. This
429 suggests that ‘ramp-up’ procedure may actually reduce the effect of horizontal displacement
430 of fish, instead of inducing deterrence as intended. In view of this, ramp-up procedures may

431 actually be used to prevent distribution changes of fish if an exposed site is critical for
432 foraging or breeding. However, fish may consequently experience other negative effects
433 resulting from the ensuing noise exposure, such as physiological stress (Buscaino et al., 2010,
434 Celi et al., 2015), auditory masking (Codarin et al., 2009) and attentional shifts (Bell et al.,
435 2012). The effect of ‘ramp-up’ has also been suggested to be species-dependent, as some
436 species are more mobile or more ready to swim from one area to another (Von Benda-
437 Beckmann et al., 2014). However, empirical data on more species is still unavailable.
438 Therefore, extrapolating our findings to other species or other ‘ramp-up’ procedures is
439 unadvisable at this stage. More studies are needed to test the efficacy of different ‘ramp-up’
440 procedures, which should not only consider a gradual rise in amplitude, but also a start with
441 slower pulse repetition rates or ‘ramp-up’ in other relevant temporal characteristics.

442

443 FROM INDOOR TO OUTDOOR

444 One of the explicit aims of the current study was to compare findings from this study with a
445 previous indoor study (Neo et al., 2014). The indoor study was conducted within a net
446 enclosure ($1.6 \times 1.6 \times 2$ m) in a large basin ($7 \times 4 \times 2$ m), using a very similar experimental
447 design and exposure scheme on captive European seabass of similar sizes. Two of the four
448 sound treatments used were similar to the current study: continuous versus impulsive regular.
449 The indoor study reported increased swimming speed, swimming depth and group cohesion
450 upon sound exposures, irrespective of the treatment types. However, the impulsive treatment
451 caused swimming depth to recover twice as slowly compared with the continuous treatment.
452 The study successfully highlighted the relatively stronger impact of impulsive sound, but had
453 extrapolation limitations because 1) the natural swimming behaviour of fish might be
454 constrained by the small experimental enclosure and 2) the acoustic characteristics in the

455 basin were quite different from natural waters, such as the lack of natural acoustic gradient
456 due to near-field effects and reverberation, and the potentially complex sound pressure and
457 particle motion ratios in the basin.

458 Despite apparent differences between the experimental arenas, the current study found
459 comparable immediate behavioural changes. The increase in swimming depth was especially
460 clear, implying that it is a robust indicator for behavioural impact, while other read-outs, such
461 as group cohesion, may only become informative with the high resolution of indoor studies.
462 Social effects that explain group cohesion are possibly lessened in the outdoor conditions due
463 to the large experimental arena and the inherently reduced mutual visibility. In addition, we
464 also showed that the fish avoided the sound source by swimming away from it (Fig. 3). The
465 absence of this behaviour in the previous indoor study confirmed that either the artificial
466 sound fields or the spatial restrictions in tanks could prevent some response patterns from
467 emerging (Oldfield, 2011). In view of this, the behavioural validity of any studies conducted
468 in a confined or unnatural setting (especially the absence of effects), needs to be assessed
469 critically before implications for noise impact assessments can be drawn. This can be
470 achieved by comparing studies across different contexts from laboratory to field, to find out
471 what behavioural parameters are generalisable, and whether they depend on specific contexts.
472 For example, diving behaviour occurs in indoor studies conducted in reverberant enclosures
473 without acoustic gradient (Fewtrell and McCauley, 2012, Neo et al., 2014, Neo et al., 2015a)
474 and has typically been associated with anxiety across contexts (Cachat et al., 2010, Israeli-
475 Weinstein and Kimmel, 1998, Kuwada et al., 2000, Luca and Gerlai, 2012, Skilbrei and
476 Holst, 2009, Wilson and Dill, 2002). By knowing this, diving behaviour in outdoor studies
477 (Gerlotto and Fréon, 1992, Handegard et al., 2003, Slotte et al., 2004) can be interpreted, at
478 least partly, as related to anxiety instead of acoustic avoidance by vertical displacement. In
479 any case, researchers, as well as regulators, should never take the findings of a single study at

480 face value, but advice management decisions based on studies over a variety of contexts and
481 approaches.

482 Performing indoor studies using robust behavioural parameters can increase their
483 external validity, so that researchers can take advantage of the high controllability and
484 practicality of tank-based set-ups to support outdoor experiments, which are typically more
485 challenging to perform (Radford et al., 2014, Slabbekoorn, 2014). Currently, most studies so
486 far were conducted on captive fish from a hatchery, which may be less or differently affected
487 by environmental stressors than wild fish (Benhaïm et al., 2012, Lepage et al., 2000), making
488 the observed response levels potentially less strong than in the wild. Moreover, there is still a
489 need in determining which behavioural parameters may lead to long-term consequences on
490 fish populations.

491

492 CONCLUSION

493 The findings from our semi-natural set-up successfully breached the extrapolation gap
494 between laboratory and field studies. We showed that certain behavioural changes were
495 qualitatively consistent with previous indoor studies, while horizontal avoidance behaviour
496 only occurred outdoors. In addition, the outdoor conditions increased the variability of the
497 behavioural response and did not reveal the effects of different temporal structures.
498 Furthermore, we showed that a ‘ramp-up’ procedure had unexpected results, where fish
499 startled already at the start of the ‘ramp-up’, without swimming away from the speaker. This
500 observation implies that the ‘ramp-up’ procedure may affect fish behaviour, but not
501 necessarily have the mitigation effect that is generally assumed.

502

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